



22 December 2023

By Email

To: Senior Executive Officers (SEO) of Authorised Firms

Re: Change of Reporting Requirements - CIR Notification of the Marketing and Selling of Funds

Dear SEO,

In order to remove unnecessary regulatory burden, we are changing the reporting requirements in relation to the marketing and selling of Foreign and/or Domestic Funds.

To date, Authorised Firms involved in the marketing and selling of Foreign and/or Domestic Funds were required to complete and submit the [‘CIR Notification of the Marketing and Selling of Funds’](#) form by the end of January each year. **This submission will no longer be required.**

From January 2024, the information previously captured within the ‘CIR Notification of the Marketing and Selling of Funds’ form should be reported using the online annual report submission via the DFSA ePortal. Specifically, section 13 ‘Funds Data’. This submission is required four months after an Authorised Firm’s financial year end. Providing the information as part of online annual reporting will be considered as compliance with [CIR 15.1.10 – Periodic Information to DFSA](#).

Going forward, the ‘CIR Notification of the Marketing and Selling of Funds’ form will be removed from the DFSA website, and the reporting requirements will be updated to reflect this change.

If you have any questions in relation to this letter, please contact us using the DFSA Supervised Firm Contact Form found on the [DFSA ePortal](#).

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Mohamad El Khalil', is written over a thin horizontal line.

Mohamad El Khalil
Managing Director (Acting), Supervision

Copied to Compliance Officers