

## By Email

31 January 2022

To the Senior Executive Officers of DFSA Authorised Firms; Authorised Market Institutions; and Managing Partners & Main Contacts of Registered Auditors

### RE: DFSA Cyber Thematic Review 2022

Dear Stakeholders,

The purpose of this letter is to inform you of the DFSA's Cyber Risk Thematic Review.

In line with its regulatory objectives, the Dubai Financial Services Authority (DFSA) is carrying out the Cyber Thematic Review 2022 (Review). The Review is designed to assist in determining:

- The current maturity level of cybersecurity frameworks implemented by Authorised Firms, Authorised Market Institutions and Registered Auditors (collectively referred to as Firms);
- The consistency of Firms' cyber risk management practices with the [DFSA Cyber Risk Management Guidelines](#); and
- The status of areas identified as needing improvement in the [DFSA Cyber Thematic Review of 2019/2020](#).

The Review will be conducted via an online questionnaire consisting primarily of multiple-choice questions that seek information on each Firm's cybersecurity practices. The questionnaire will be accessible through the [DFSA ePortal](#).

The deadline for Firms to submit responses is **23 February 2022**. If necessary, we will contact selected Firms to get additional information.

Following the review, the DFSA will publish its key findings.

We also remind Authorised Firms and Registered Auditors to report material cyber incidents to the DFSA using the Cyber Incident Notification Form on the [DFSA ePortal](#). To assist in completing the form, we have prepared a guidance document called "Cyber Incident Notification Form – Guidance." Firms should familiarise themselves with the Cyber Incident Notification Form and the guidance document before they experience a reportable incident. For ease of reference, and sharing among relevant stakeholders, we include with this letter links to a copy of the [Cyber Incident Notification Form](#) and a copy of the [guidance document](#).

If you have any questions in relation to this letter, please contact us using the DFSA Supervised Firm Contact Form found on the [DFSA ePortal](#).

Yours sincerely,



**Justin Baldacchino**

**Managing Director, Supervision**

CC: Compliance Officers of Authorised Firms